



PRIVACY POLICY

Dear Friends and Clients:

A federal "Privacy Act" requires that all businesses having access to non-public personal information communicate to their constituencies their policy regarding the use and security of such information. Your personal privacy is important to FAI Wealth Management® and our employees. We'd like to share with you our policy regarding the collection, use, retention and security of non-public personal information.

We collect non-public personal information about you from the following sources:

- Information that you provide regarding your personal finances and health to the extent that it is needed for the financial planning process.
- Information we receive from you on applications or other forms as well as information about your transactions that we obtain from you during the investment planning and management process.

We do not disclose any non-public information about our clients to anyone, except as required by law or as necessary to provide our services to you. Examples of such disclosures include sharing with companies that work with us to provide you service, such as Charles Schwab. All such companies act on our behalf, are contractually obligated to keep the information that we provide to them confidential and use the information only to provide the services that we have asked them to perform for you and us. Federal securities regulators may review our company records and your personal records as permitted by law.

On occasion we may need to discuss issues with other professionals that are employed by you, for example, your accountant, attorney or former brokers or investment firms. We will ask your permission before we do this.

We restrict access to non-public information about you to those employees who need to know that information and we maintain physical and procedural safeguards to protect your non-public personal information. All employees have committed to our privacy policy in writing.

Please call us if you have any questions.

Lyn A. Dippel
President & CEO
January 2018